IN THIS paper, studying the effect of increase the number of SiC nanoparticles atoms on the optimized geometrical parameters, electronic and spectroscopic properties of polyvinyl alcohol. The studied structures are (PVA)(43Atom), (PVA-SiC)(35 Atom) and (PVA-SiC)(51Atom) nanocomposites. The optimization parameters included both bonds and angles. The electronic and structural properties included the (energy gap, cohesive energy, ionization potential, electron affinity, chemical hardness, chemical softness, electronegativity, electrophilicity and density of states) as well as spectral properties, which included IR and UV-Visible. This study uses Gaussian 0.9 program with help of Gaussian View 0.5 using density function theory (DFT) with local spin density approximation B3LYP level and 6-31G basis sets. The results showed that the number of atoms has a direct impact on all the properties of the molecules studied. The increase of number of atoms is caused changes in spectral of (PVA) which include shift in some bonds and change in the intensities. These changes attributed to interactions of nanoparticles (SiC) with (PVA). Also, from Ultra Violet and Visible spectrum observed that absorption increases by increasing the number of atoms, this is due to the excitations of donor level electrons to the conduction band at these energies. The energy gap of the nanocomposites reduces from 6.8568 eV for (PVA) to 5.0715 eV for (PVA-SiC)(35Atom) and 4.5330 eV for (PVA-SiC)(51Atom). The total energies decreases with the increase the number of atoms forming the nanocomposites. The results showed that the nanocomposites can be used for different applications such as: solar cells, diodes, transistors, sensors, electronics gates, electronics devices.

Keywords: SiC, Gaussian, Nanocomposites, Spectral properties, FTIR, DFT.

Introduction

Nanotechnology is a field of applied sciences and technology that deals with different materials in the nanoscales ranges have large surface areas[1]. It is the application of technology and science to manipulate the matter at atomic and molecular scales[2]. Polymer nanocomposites materials have polymeric matrix reinforced with nanofillers such as nanotubes, nanosheets, nanoparticles and nanofibers etc. The physical properties of these nanocomposites mainly depend on the interaction between polymeric molecules and nanofillers [3]. Today, the studies on polymer nanocomposites have attracted much attention due to their modern different applications in the polymer nanotechnology field [4]. Poly(vinyl alcohol) is soluble polymer in water that has involved exacting interest due to its biocompatibility and hydrophilicity properties. Poly(vinyl alcohol) (PVA) is harmless and has excellent thermal stability, creation it a hopeful candidate to be used in biotechnology and biomedicine fields[5,6]. PVA present an excellent host material due to its good film morphology, combined with high flexibility. However, these properties are highly susceptible

Analysis of Optical, Electronic and Spectroscopic Properties of (Biopolymer-SiC) Nanocomposites for Electronics Applications

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on humidity which reduces the durability and stability of such polymer; PVA has been mainly used as a dielectric material, membrane, or adhesive because of its high solubility in water[7]. Polyvinyl alcohol (PVA) is one type of hydrophilic polymer. It’s can be mixed with other materials to get a better composite according to its usefulness[8]. Carbides of transition metals form an interesting class of materials in which anions and cations are held together by a mixture of strong ionic, covalent, and metallic bonds[9]. Different transition metal carbides showed good chemical stability and good mechanical properties with high resistance against corrosion[10]. The metal lattice expands and the metal–metal distance increases upon carbide formation, the increase in metal–metal distance causes contraction of the metal d-band and therefore would give a greater density of states (DOS) near the Fermi level[11].

The DOS governs many physical properties and consequently plays an important role in solid state physics, it is important to be able to predict how the DOS will behave for different molecular structures geometries. The region of polymer nanocomposites around the nanofillers called interphase, the interphase can have less or more dense structure compared to polymer matrix depending on the interaction between polymer molecules and nanofillers (Fig. 1)[3].

The wavelength of light required for electronic transitions is typically in the UV and visible region of the electromagnetic radiation spectrum, electronic absorption spectroscopy is often called UV visible or UV-Vis spectroscopy. It is named electronic absorption spectroscopy because the absorption in the UV-visible regions involves typically electronic transitions[12]. Infrared spectroscopy is a very useful spectroscopy tool for determining the presence of functional groups and bonding sequences in a compound by the absorption of light in the IR region of the electromagnetic spectrum[13]. DFT has proven hugely successful in the calculation of structural properties of condensed matter systems and the electronic properties of simple metals[14].

Understanding the complex interaction between polymeric material (PVA) and SiC nanoparticles, we have been made using theoretical method density functional theory (DFT). DFT is the principal method for the quantum mechanical imitation of periodic systems. It has been putative by quantum chemists and is nowadays very widely used for the imitation of energy surfaces in molecules[15]. In this paper, the effect of (SiC) nanoparticles atoms number on structural, electronic and optical properties of PVA has been studied. The (SiC) nanoparticles have good electrical, optical, thermal, chemical and mechanical properties, so it has been used in this paper as additive to PVA to use it for different electronic and optoelectronic applications.

Theoretical Part

The total energy for a system is the sum of total kinetic and potential energy, at the optimized structure that the total energy of the molecule must be at the lowest value because the molecule is at the equilibrium point this means that the resultant of the effective forces is zero. Cohesive energy is defined as the energy required to separating the condensed material into isolated free atoms. The cohesive energy is then the difference between energy per atom of the bulk material at equilibrium and the energy of a free atom in its ground state. The cohesive energy $E_{coh}$ is given by[16]:

$$E_{coh} = \text{total energy of bulk material} - \text{total energy of isolated free atoms}.$$

Fig. 1. (A) Free volume nanoholes in pure PVA, (B) PVA-SiC nanocomposite structure having two layers [3].
where $E_{\text{tot}}$ is the total energy, $E_{\text{free}}$ is the free atoms, $n$ is the number of atoms, $E_0$ is the vibration energy of ground states (zero-point).

The HOMO (is the molecular orbital of highest energy that is occupied by electrons) and LUMO (is the molecular orbital of lowest energy that is not occupied by electrons) are important in determining such properties as molecular reactivity and the ability of a molecule to absorb light [17]. The band gap refers to energy difference between the highest occupied molecular orbital and lowest unoccupied molecular orbital according to the Koopmans theorem [19]:

$$E_g = E_{\text{LUMO}} - E_{\text{HOMO}} \ldots \ (2)$$

The chemical hardness ($\eta$) is a measure of the resistance to charge transfer.

The theoretical definition of chemical hardness has been provided by the density functional theory as the second derivative of electronic energy with respect to the number of electrons $N$, for a constant external potential $V(r)$ [20]:

$$\eta = \frac{12 EN^2 V}{\partial E/N V} = \frac{12}{2} \partial E/\partial NV = \frac{12}{2} \partial X/\partial NV \ldots \ (7)$$

Finite difference approximation to chemical hardness gives:

$$\eta = \text{IP} - \text{EA} \ldots \ (8)$$

The global chemical softness, $S$, is a property of molecules that measures the extent of chemical reactivity. It is the inverse of the chemical hardness [21]:

$$S = 12 N \partial E/V = \partial N \partial E/V \ldots \ (9)$$

The fundamental variation principle in density functional theory is the electronic chemical potential, where the reactivity indicator is related to how the electronic energy $E$ of a molecule changes with changing the number of electrons $N$ and the external potential. Parr et al. showed that for every collection of nuclei and electrons system there was electronic chemical potential $K$, defined as [22]:

$$K = \partial E/\partial NV \ldots \ (10)$$

Where $v$ is the potential due to nuclei.

Then we might define the electronegativity (It is defined as “the power of an atom in a molecule to attract electrons to itself by Pauling) as the negative of the electronic chemical potential [23]:

$$X = -K = -\partial E/\partial NV \ldots \ (11)$$

R. Mulliken defined electronegativity as the average of the ionization energy and electron affinity as follows [24]:

$$X = (\text{IP} + \text{EA})/2 \ldots \ (12)$$

According to Koopmans’ theorem, it can be defined as the negative value for average of the energy levels of the HOMO and LUMO [17, 25]:

$$X = -1/2 E_{\text{HOMO}} + 1/2 E_{\text{LUMO}} \ldots \ (13)$$

Stabilization in energy when the system acquires an additional electronic charge from the environment [18]. On the other word, it can be defined as a measure of energy lowering due to maximal electron flow between donor and acceptor [20].

$$\omega = \kappa^2 2 \eta \ldots \ (14)$$

Where $\kappa$ chemical potential is associated with the negative of the electronegativity.
**Experimental Part**

Silicon Carbide (SiC), it was obtained as powder from US Research Nanomaterials, Inc, USA with size (<80nm,cubic) and high purity (99.99%). Polyvinyl alcohol (PVA) used as powder with high purity (99.8%). The polyvinyl alcohol-Silicon Carbide nanocomposites prepared by using by casting method with concentrations (98.5 wt.%) of polyvinyl alcohol, and the Silicon Carbide (SiC) nanoparticles were added to (PVA) with changed weight percentages are (x= 0, 1.5, 3, 4.5 and 6) Wt.%. This addition was applied theoretically by using one of the famous programs in computational chemistry is Gaussian 09 (G09) Program and Gaussian view 5.0.8 program and using density functional theory (DFT) at B3LYP level with 6-31G basis set.

**Results and Discussion**

Figures 2-4 show the optimized structures of nanocomposites in the gas state, were obtained with the DFT method using the three-parameter hybrid-functional of Becke B3LYP with 6-31G basis sets. More than one model of nanocomposites with different length of polymer chains has been tested and thus a difference in the number of atoms that forming the nanocomposites, thereby; it has been investigation that it is possible increasing the concentration of the nanocomposites both theoretically and practically.

Table 1 shows the geometric parameters of (PVA) and (PVA-SiC) nanocomposites involved the bond length in Angstrom and bond angle in degree by using the Gaussian 09 package of programs by employing the DFT with the B3LYP/6-31G level. It has been deduced that the DFT method is an efficient to estimate the optimized structure of the studied molecule, that due to the DFT method characterized by its accuracy to estimate the molecular properties for any compound. The calculated values of bonds in present work are in a good agreement with theoretical study [14,26,27].

The SiC nanoparticles are caused changes in spectral of (PVA) which include shift in some bonds and change in the intensities. These changes attributed to interactions of nanoparticles with (PVA). The FTIR studies show that there is no interactions between (PVA) and (SiC) nanoparticles. Figure 5 and Table 2 show the theoretical results of FTIR obtained using the Gaussian view 5.0 program and density functional theory (DFT) with (6-31G) basis sets.

Ultra Violet and Visible spectrum is
Fig. 4. Optimized geometry for (PVA-SiC) contain(51 Atom) at the B3LYP/ 6-31G basis set.

### TABLE 1. Average bond lengths in (Å) and angles in degree.

<table>
<thead>
<tr>
<th>Values</th>
<th>The optimization parameters</th>
<th>Linear</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.541627</td>
<td>( C-C )</td>
<td></td>
</tr>
<tr>
<td>1.48018</td>
<td>( C-O )</td>
<td></td>
</tr>
<tr>
<td>1.098264</td>
<td>( C-H )</td>
<td>Bonds</td>
</tr>
<tr>
<td>0.99314</td>
<td>(O-H)</td>
<td>A0</td>
</tr>
<tr>
<td>1.7546</td>
<td>(C:::Si)</td>
<td></td>
</tr>
<tr>
<td>112.8785</td>
<td>( C-C- C )</td>
<td>Angles</td>
</tr>
<tr>
<td>109.1321</td>
<td>( C-O-H )</td>
<td>deg.</td>
</tr>
</tbody>
</table>

### TABLE 2. The values of energy gap in ( eV ) of the studied structures

<table>
<thead>
<tr>
<th></th>
<th>PVA 43 Atom</th>
<th>PVA-SiC 35 Atom</th>
<th>PVA-SiC 51 Atom</th>
</tr>
</thead>
<tbody>
<tr>
<td>$E_{\text{HOMO}}$ (eV)</td>
<td>-5.9339</td>
<td>0.9229</td>
<td>6.8568</td>
</tr>
<tr>
<td>$E_{\text{LUMO}}$ (eV)</td>
<td>-4.4498</td>
<td>5.0715</td>
<td>-4.1828</td>
</tr>
<tr>
<td>$E_g$ (eV)</td>
<td>0.6217</td>
<td>0.3502</td>
<td>4.5330</td>
</tr>
</tbody>
</table>
dependent upon the electronic structure of the molecule. Figure 6 shows the UV-Vis spectra that theoretically obtained by using Gaussian 09 program and Gaussian view 5.08 program and using density functional theory (DFT) at B3LYP level with 6-31G basis sets. From the figure we note that the that the spectrum within the limits Ultraviolet-Visible (UV-Vis) of the spectrum, because the spectrum is practically as a function of concentration, that is, the spectrum is calculated for each concentration, while theoretically the rate is taken to concentrations. Which, will calculate the highest concentration where the sample will be completely opaque only seen in the visible area of the spectrum and at a lower concentration which will be in the area of the spectrum. From Fig. (6-c) observed that (PVA-SiC)(51 Atom) have higher UV-Vis spectra in comparison with (PVA) (43 Atom) and (PVA-SiC)(35Atom). As a result, it shows that absorption increases by increasing the number of atoms, this is due to the excitations of donor level electrons to the conduction band at these energies. The high absorbance of samples for nanocomposites at UV-Vis region attributed to the energy of photon enough to interact with atoms; the electron excites from a lower to higher energy level by absorbing a photon of known energy [28-36]. This behavior consistent with the results of researchers [37].

The energy band gap found by using equation (2). The band gap refers to energy difference
between the highest occupied molecular orbital and lowest unoccupied molecular orbital according to the Koopmans theorem. Table 2 shows the energy gap for (PVA)(43Atom), (PVA-SiC)(35Atom) and (PVA-SiC)(51Atom). Figure 7 illustrates the 3-D distribution of HOMO and LUMO for the studied nanocomposites. From this figure, the form of the nanocomposites has the same effect on both HOMO and LUMO distribution. The change of the form of the nanocomposites leads to change the map of HOMO and LUMO

The addition of (SiC) nanoparticles to (PVA) led to a reduction of the energy gap from 6.8568 eV for (PVA) to 5.0715 eV and 4.5330 eV for (PVA-SiC)(35Atom) and (PVA-SiC)(51Atom) respectively. From the results of the energy gap calculated decreases with increasing the number of atoms theoretically.

The computed total energy $E_t$ and cohesive

---

*Fig. 6. UV-Vis spectrum for A- (PVA) (43 Atom), B- (PVA-SiC) (35 Atom), C- (PVA-SiC) (51 Atom) using B3LYP/6-31G*
Fig. 7. The distribution of HOMO (left) and LUMO (right) for A-(PVA)(43 Atom), B-(PVA-SiC)(35 Atom), C-(PVA-SiC)(51 Atom).

energy $E_{\text{coh}}$ [relaxation energy, $E_{\text{rlx}}$, defined as the difference of the total energies of the film with truncated bulk and fully relaxed structures\textsuperscript{[38-43]}] data shown in Table 3 suggest that it decreases (in magnitude) significantly with increase in the number of atoms for studied. The relaxation energy, $E_{\text{rlx}}$, does not increase with the number of layers. This indicates that the main contribution to the relaxation energy comes from the surface and sub-surface layers\textsuperscript{[38]}. It is well known that the frontier molecular orbitals, the highest occupied molecular orbital HOMO and the lowest unoccupied molecular orbital LUMO, play a significant role for the reactant molecules in chemical reactions, it can be concluded that less negative $E_{\text{coh}}$ value for nanocomposites might be related to its higher LUMO energy level. An interesting conclusion that can be drawn from these investigations is that this factor can affect the values of cohesive energies. Here, the system with larger $E_{\text{coh}}$ is more stable. The hard molecule has a large energy gap and the soft molecule has a small energy gap. In quantum theory, changes in the electron density of system result from the mixing of suitable excited state wave functions with the ground state wave function. A small energy gap means small excitation energies to the manifold of excited states. Therefore, soft molecules with small energy gaps. Their electron density change more easily than a hard molecule, and due to that, soft molecules will be more reactive than hard molecules.

Figure 8 illustrates the electrostatic potential ESP distribution surface of nanocomposites calculated from the total self-consistent field SCF. The ESP distributions for the nanocomposites in results from the strength of repulsion or attraction of the areas that surrounding each nanocomposite. In general, the ESP surfaces of the (PVA),(PVA-SiC) (35Atom) and (PVA-SiC) (51Atom) nanocomposites are dragged toward the positions of negative charges in each molecule means the oxygen atoms of high electronegativity\textsuperscript{[3.5 eV]}.

The strength of interactions can be further studied by analyzing orbital interactions between the atoms of nanocomposite, in terms of density of states DOS as shown in Fig. 9. DOS of the relax structures in the figures indicating that (PVA)(43Atom),(PVA-SiC)(35Atom) and (PVA-SiC) (51Atom) nanocomposites are energy gap decrease in the range (6.8568eV), (5.0715eV) and (4.5330eV) respectively. With these values of the energy gap, we move from the insulating material to the semiconductor material. The high density of states at specific energy levels refers to that there are many states in the structure available for occupation. If there is no states can be occupied at that energy level that refers to zero density of states.

<table>
<thead>
<tr>
<th>Property</th>
<th>PVA Atoms 43</th>
<th>PVA-SiC Atoms 35</th>
<th>PVA-SiC Atoms 51</th>
</tr>
</thead>
<tbody>
<tr>
<td>(eV) Total energy</td>
<td>-23101.3339</td>
<td>-18984.9133</td>
<td>28495.9986-</td>
</tr>
<tr>
<td>(eV) cohesive energy</td>
<td>-0.6885</td>
<td>-5.2744</td>
<td>-15.5167</td>
</tr>
<tr>
<td>(eV) Ionization potential (eV)</td>
<td>5.9339</td>
<td>4.4498</td>
<td>4.1828</td>
</tr>
<tr>
<td>(eV) Electron affinity</td>
<td>0.9229-</td>
<td>0.6217-</td>
<td>0.3502-</td>
</tr>
<tr>
<td>(eV) Electronegativity</td>
<td>5055.*</td>
<td>1.9140</td>
<td>1.9163</td>
</tr>
<tr>
<td>(Chemical hardness (eV)</td>
<td>3.4284</td>
<td>2.5357</td>
<td>2.2665</td>
</tr>
<tr>
<td>(Chemical softness(eV)</td>
<td>0.1458</td>
<td>0.1971</td>
<td>0.2206</td>
</tr>
<tr>
<td>(eV) Chemical potential</td>
<td>2.5055-</td>
<td>1.9140-</td>
<td>1.9163-</td>
</tr>
<tr>
<td>(Electrophilicity (eV)</td>
<td>0.9155</td>
<td>0.7223</td>
<td>0.8101</td>
</tr>
</tbody>
</table>
Fig. 8. The electrostatic potential distribution surface for A-(PVA)(43Atom), B-(PVA-SiC)(35 Atom), C-(PVA-SiC) (51Atom).

Fig. 9. Density of states as a function of bond length for a-(PVA)(43 Atom), b-(PVA-SiC)(35Atom), c-(PVA-SiC) (51Atom)
Conclusions

1. B3LYP level with 6-31G density functional theory has been proved its validity in studying the geometry optimization and calculating the geometrical parameters (angles and bonds length).

2. The absorbance of polyvinyl alcohol (PVA) increases with an increase in a number of atoms for SiC nanoparticles. The nanocomposites have high absorbance for UV energy and visible energies which are may be used for different electronic applications such as: solar cells, diodes, transistors, sensors..etc, with low cost and lightweight.

3. The present work exposes that the energy gap of (PVA-SiC)(51Atom)the largest structures equal to (4.5330eV).

4. The total cohesive energy (absolute value) increases as the number of atoms for SiC nanoparticles increase but the average cohesive energy decreases with respect to increase of the SiC nanoparticles.

The results of structural, electronic and optical properties of (PVA-SiC) nanocomposites showed that the (PVA-SiC) nanocomposites can be used for different electronics and optoelectronics applications such as: solar cells, diodes, transistors, sensors, electronics gates, electronics devices.

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تحليل الخصائص البصرية، الإلكترونية والمطيافية للمتراكبات النانوية (بوليمر حيوي/SIC)

تعد هذه الدراسة برنامج HIND AHMED، احمد هاشم عبد الجليل
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جامعة بابل، كلية العلوم، قسم الفيزياء، العراق.

في البحث الحالي، تم دراسة تأثير زيادة عدد الذرات المضافة للمتراكبات النانوية على الخصائص الإلكترونية والمطيافية للمتراكبات النانوية (PVA-SiC) (35Atom)، (PVA)(43Atom)، (PVA-SiC) (51Atom) و (PVA-SiC) (51 Atom).

تتضمن ملاحظات الالتماس كلا من التأثير والروابط. أما الخصائص الإلكترونية والترميمية (الجهاز التردد، جهد التأثير، الأداء الإلكتروني) فيتم تحليلها الكيميائية، الكهربائية وكفاءة التفاعلات بالإضافة إلى الخصائص المطيافية، والتي تحتوي على تناول الأتمة تحت الحمراء 0.5 Gaussian View باستخدام نظرية دالة التكافؤ Gaussian 0.9ـ.0 مع ترميم الاتصال دالة التكافؤ (DFT) والجهاز المكثف ليتم تحديد النتائج.

وتم التأكدات في ضوء (PVA) والتي تشمل إزاحة بعض الأوساخ والترميز في الشدة. هذه التغييرات تعزى إلى تفاعلات الجزيئات النانوية (PVA).

كما وحظ من طيف فوق فوتونات النانوية أن الاصطدامات فيزيائية تتم على زيادة عدد الذرات، ويرجع ذلك إلى ترددي الإليزترونس من المستوى المنخفض إلى حزمة التوصيل عند هذه الطاقة. أما بالنسبة لحجم التردد للمتراكبات النانوية فقد تناقصت عند 68 5.0715 من 6.8569 لـ 6.4530 لـ 4.5330 لـ 4.5330 من 0.015.

النتيجة النهائية أن القواعد هي من المتراكبات النانوية. بينما النتائج أن المتراكبات النانوية يمكن أن تدخل في التفاعلات المختلفة مثل: الخلايا النباتية، والدوديات، و الترانزستورات، وال المختلف، والهياكل الإلكترونية والكيميائية، والهياكل الإلكترونية، والهجوز الإلكترونية.